

Audit and Governance Committee

Date: Tuesday, 24 March 2020

Time: 10.00 am

Venue: South Walks House

Membership: (Quorum 3)

Matthew Hall (Chairman), Richard Biggs (Vice-Chairman), Simon Christopher, Susan Cocking, David Gray, Brian Heatley, Nocturin Lacey-Clarke, Mike Parkes, Bill Pipe and Bill Trite

Chief Executive: Matt Prosser, South Walks House, South Walks Road, Dorchester, Dorset DT1 1UZ (Sat Nav DT1 1EE)

For more information about this agenda please contact Fiona King on 01305 224186 - fiona.king@dorsetcouncil.gov.uk



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A G E N D A

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1 APOLOGIES

To receive any apologies for absence.

2 MINUTES

5 - 10

To confirm the minutes of the meeting held on 16 January 2020.

3 DECLARATIONS OF INTEREST

To receive any declarations of interest.

4 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

5 INTERNAL AUDIT 2019/20 PLAN PROGRESS

11 - 28

To consider progress against the Internal Audit Plan for the 2nd year half.

6 INTERNAL AUDIT PLAN 2020/21 AND INTERNAL AUDIT CHARTER

29 - 46

To consider the proposed internal audit plan 2020/21.

7 ANNUAL AUDIT LETTERS

47 - 122

To consider the Deloitte external auditor annual audit letters in relation to the 2018-19 accounts for the legacy sovereign authorities of Dorset Council.

8 ANNUAL GOVERNANCE STATEMENT

123 - 150

To consider a report from the Service Manager for Assurance.

9 FINANCIAL REPORT (INCL CHILDREN'S SERVICES)

151 - 174

To receive and scrutinise the latest finance report as presented to Cabinet.

10 RISK MANAGEMENT UPDATE 175 - 196

To review and challenge the Council's risk register.

11 DORSET COUNCIL'S PLAN OUTLINE PERFORMANCE FRAMEWORK 197 - 208

To receive a report by the Portfolio Holder for Corporate Development that was considered by the Cabinet at their meeting on 3 March 2020.

12 ASSESSING AND DEVELOPING THE CAPACITY OF THE AUDIT AND GOVERNANCE COMMITTEE

The Chairman and the Monitoring Officer to lead the discussion.

13 PROGRESS WITH POLICY CONVERGENCE 209 - 216

To consider a report from the Corporate Director, Legal and Democratic Services

14 CONSTITUTIONAL CHANGES

To receive a verbal update from the Monitoring Officer.

15 URGENT ITEMS

To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.